D/SB/21 (09-04)

Approved for use through 7/31/2006 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. 10/783,873 Application Number **TRANSMITTAL FORM** Filing Date February 20, 2004 Joseph J. Kubler et al. First Named Inventor Art Unit 2616 **Examiner Name** Chin, Wellington (to be used for all correspondence after initial filing) Attorney Docket Number 14364US15 Total Number of Pages in This Submission 33 **ENCLOSURES** (check all that apply) Drawing(s) After Allowance Communication Fee Transmittal Form to TC Licensing-related Papers Fee Attached Appeal Communication to Board Amendment/Reply Petition of Appeals and Interferences After Final Petition to Convert to a Appeal Communication to TC Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation **Proprietary Information Extension of Time Request** Change of Correspondence Status Letter Address **Express Abandonment Request** Return-Receipt Postcard Terminal Disclaimer Litigation Statement Pursuant To MPEP §2001.06(C) and Request for Refund Other Enclosure(s) (please Information Disclosure Statement identify below): CD Number of CD(s) \_\_\_ 2 copies of PTO/SB/08A, and Landscape Table on CD copy of cited foreign reference Reply to Missing Parts/ Incomplete Application Remarks Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT McAndrews Held & Malloy, Ltd. Firm Signature Kevin E. Borg, Reg. No. 51,486 Printed Name Date May 3, 2006 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 05/03/2006

Kevin E. Borg

Name (Print/type)

Signature

Registration No. (Attorney/Agent)

51,486

05/03/2006



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	) <u>CERTIFICATE OF MAILING</u>
Joseph J. Kubler et al.	) I hereby certify that this correspondence is
Serial No. 10/783,873	<ul> <li>being deposited with the United States Postal</li> <li>Service as first class mail in an envelope</li> <li>addressed to: Commissioner for Patents</li> </ul>
Filed: February 20, 2004	) Mail Stop Amendment, P.O. Box 1450 ) Alexandria, VA 22313-1450 on May 3, 2006.
For: Hierarchical Data Collection Network Supporting Packetized Voice Communications Among Wireless Terminals And Telephones	) ) (C. \( \xi - \
Examiner: Chin, Wellington	)
Group Art Unit: 2616	)
Confirmation No : 7542	)

## <u>LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION</u> <u>DISCLOSURE STATEMENT</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in a patent infringement action with Qualcomm, Inc., Civil Action No. 05-467, pending in the Central District of California.

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present application may be related to at least one of the patents currently asserted by Broadcom in the above-mentioned lawsuit. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending action.

The Applicants also encloses as Exhibit B a completed PTO form PTO/SB/08A which has one (1) page, as well a copy of each printed reference listed in the enclosed form PTO/SB/08A. Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Sixteen (16) references are enclosed.

Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Sixteen (16) references are enclosed.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned actions. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

No fee is believed to be due because:

\* The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: May 3, 2006

Kevin E. Borg

Reg. No. 51,486

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400 Chicago, Illinois 60661

(312) 775-8000



## Patents Currently Asserted by Broadcom in Civil Action No. 05-467 (CD Cal.)

5,425,051

5,657,317

6,285,865

6,389,010

6,847,686

PTO/SB/08A (08-03)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Comple	te if Known
Application Number	10/783,873
Filing Date	February 20, 2004
First Named Inventor	Joseph J. Kubler et al.
Group Art Unit	2616
Examiner Name	Chin, Wellington
Attorney Docket No.	14364US15

			U.S. PATENT DO	CUMENTS	
Examiner Initial*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
_	A1	4,553,081	11-12-1985	Koenck	
	A2	4,716,354	12-29-1987	Hacker	
	A3	4,961,043	10-02-1990	Koenck	
	A4	5,260,988	11-09-1993	Schellinger et al.	
	A5	5,371,898	12-06-1994	Grube et al.	
	A6	5,420,911	05-30-1995	Dahlin et al.	
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	A13	5,754,542	05-19-1998	Ault et al.	
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	A15	5,777,991	07-07-1998	Adachi et al.	

		FOREIG	N PATENT DO	CUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	6
Initials*	No. ¹	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	'
	B1	PCT/WO91/08629	06-13-1991	Berken		

		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER   /Khuong Tran/   DATE CONSIDERED   02/27/2008
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

Rev. Sept. 03

J:\Open\phs\Broadcom (1772)\Qualcomm\Santa Ana 05-467 Litigation Statement & IDS\5,657,317 and 6,389,010 - VoIP\No Fee\14364US15\14364US15

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.